# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

First Named Inventor or Application Identifier

Masakazu NAKANO and Makiko MIURA

Check Box, if applicable [ ] Duplicate

Express Mail Label No.

Attorney Docket No.

APPLICATION ELEMENTS FOR:

OPTICAL FIXED ATTENUATOR AND PROCESS AND APPARATUS FOR PRODUCING THE SAME

ADDRESS TO:

Commissioner for Patents

MAIL STOP BOX PATENT APPLICATIONS

**Total Pages** 

P.O. Box 1450

030475

Alexandria, VA. 22313-1450

1. [XX]	Fee Transmittal Form (Incorporated within this form)
•	(Submit an original and a duplicate for fee processing)

2. [XX] Specification

Total Pages [27]

3. [XX] Drawing(s) (35 USC 113)

Total Sheets [4]

4. [XX] Oath or Declaration

Total Pages [2]

- a. [XX] Newly executed (original)
- b. [ ] Copy from prior application (37 CFR 1.63(d))

(for continuation/divisional with Box 17 completed).

i. Deletion of Inventor(s)

Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

5. [ ] Incorporation by reference (useable if box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

- 6. [ ] Microfiche Computer Program (Appendix)
- 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. [ ] Computer Readable Copy
  - b. [ ] Paper Copy (identical to computer copy)
  - c. [ ] Statement Verifying identity of above copies

#### **ACCOMPANYING APPLICATION PARTS**

- 8. [XX] Assignment Papers (cover sheet and documents(s)) to: <u>YAZAKI CORPORATION, Tokyo, Japan</u>
  Please publish the assignee data with the application.
- 9. [ ] 37 CFR 3.73(b) Statement (when there is an assignee)

[XX] Power of Attorney

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Filing Fee

**TOTAL** 

Reduction by 1/2 for small entity

Fee for recording enclosed Assignment

Attorney Docket No. 030475

First Named Inventor or Application Identifier

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\$1366.00

\$ 40.00

\$1406.00

\$40.00

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10. [ ] English translation Docum	ent (if applicable)				
11. [XX] Information Disclosure Statement [1] Copy of IDS Citations w/PTO-1449 and 9 references					
12. [ ] Preliminary Amendment					
13. [XX] Return Receipt Postcard (M	MPEP 503)				
14. [ ] Small Entity Status is claimed.					
15. [XX] Claim for Convention Priority [XX] One Certified copy of Priority Document is enclosed a. Priority of <u>Japanese</u> application no. <u>2002-255769</u> filed on <u>August 30, 2002</u> is claimed under 35 USC 119.					
16. [ ] Other					
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:					
[ ] Continuation [ ] Division [ ] Continuation-in-part (CIP) of prior application no					
FEE TRANSMITTAL The filing fee is calculated below	Number Filed	Number Extra	Rate	Basic Fee \$ 750.00	
Total Claims	20 - 20		x \$18.00		
Independent Claims	7 - 3	4	x \$84.00	\$ 336.00	
Multiple Dependent Claims			\$280.00	\$ 280.00	

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[XX]	A check in the amount of \$1406.00 is enclosed to cover the filing fee of \$750.00, the fee of
	\$336.00 for extra claims, the fee of \$280.00 for multiple dependent claims
	and the assignment recordation fee of \$40.00.

Please charge our Deposit Account No. 01-2340 in the total amount of \_\_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340.

### 18. CORRESPONDENCE ADDRESS:

Armstrong, Westerman & Hattori, LLP Suite 1000,1725 K Street, N.W. Washington, D. C. 20006

Tel: (202) 659-2930 Fax: (202) 887-0357

PATENT TRADEMARK OFFICE

Typed or Printed Name

George N. Stevens

Reg. No. 36,938

Signature 4

Date: June 30, 2003

GNS:jaz